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* Admitted in NY only
** Admitted in MI only
*** Passed the Patent Bar Examination

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JAN 25 2001

TECH CENTER 1600/2900

November 16, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Office of Initial Patent Examination
Customer Service Center

Re: U.S. Continuation Patent Application Serial No. 09/439,740
Title: *Recombinant Transferrins, Transferrin Half-Molecules and Mutants Thereof*
Filed: November 15, 1999
Inventors: Walter D. Funk, et al.
Our Reference: UVI-005CP2CN

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Request for Correction of Filing Receipt;
2. Copy of the Filing Receipt (with changes noted thereon); and
3. Return postcard.

Please charge any deficiencies associated with this communication to our Deposit Account No. 12-0080.

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231 on:

November 16, 2000

Date

Debra J. Milasincic

Reg. No. 46,931

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Debra J. Milasincic
Reg. No. 46,931

Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JAN 25 2001

TECH CENTER 1604/2900

In re the Application of: Walter D. Funk, et al.

Serial No.: 09/439,740

Filed: November 15, 1999

For: Recombinant Transferrins, Transferrin
Half-Molecules and Mutants Thereof

Attorney Docket No.: UVI-005CP2CN

Group Art Unit: 1636

Examiner:

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Office of Initial Patent Examination
Customer Service Center

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified patent application incorrectly lists the state of Applicant, Walter D. Funk. **The correct state of Mr. Funk should be Union City, "CA."**

Applicants request that the Filing Receipt be corrected. A copy of the Filing Receipt with the changes noted thereon is submitted herewith.

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center Washington, DC 20231 on:

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Debra J. Milisincic

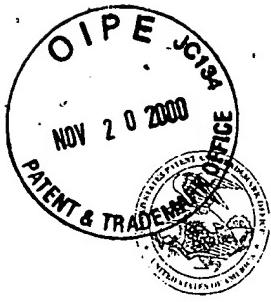
Reg. No. 46,931

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Enclosures



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/439,740	11/15/1999	1636	694	UVI-005CP2CN	8	26	8

959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109

CORRECTED FILING RECEIPT



OC000000005461120

Date Mailed: 10/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

WALTER D. FUNK, UNION CITY, TX, CA
ROSS T. A. MACGILLIVRAY, VANCOUVER, CANADA;
ANNE B. MASON, CHARLOTTE, VT;
ROBERT C. WOODWORTH, SHELBYNE, VT;

Continuing Data as Claimed by Applicant

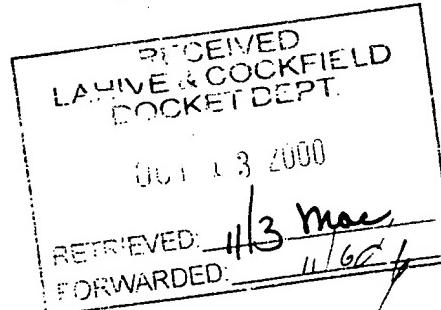
THIS APPLICATION IS A CON OF 08/175,158 12/28/1993 PAT 5,986,067
WHICH IS A CIP OF 07/832,029 02/06/1992 ABN
WHICH IS A CIP OF 07/652,869 02/08/1991 ABN

Foreign Applications

** SMALL ENTITY **

Title

RECOMBINANT TRANSFERRINS, TRANSFERRIN HALF-MOLECULES AND MUTANTS THEREOF



Preliminary Class

435

Data entry by : HILL, SEAN

Team : OIPE

Date: 10/10/2000 **RECEIVED**



JAN 25 2001

TECH CENTER 1600/2800



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

TECH CENTER 1600/2900

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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WASHINGTON, D.C. 20231
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Bib Data Sheet

SERIAL NUMBER 09/439,740	FILING DATE 11/15/1999 RULE	CLASS 435	GROUP ART UNIT 1636	ATTORNEY DOCKET NO. UVI-005CP2CN
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 ROBERT C. WOODWORTH, SHELBURNE, VT ;

**** CONTINUING DATA *******

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 WHICH IS A CIP OF 07/832,029 02/06/1992 ABN
 WHICH IS A CIP OF 07/652,869 02/08/1991 ABN

**** FOREIGN APPLICATIONS *********** SMALL ENTITY ****

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY TX	SHEETS DRAWING 8	TOTAL CLAIMS 26	INDEPENDENT CLAIMS 8
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

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959

TITLE

RECOMBINANT TRANSFERRINS, TRANSFERRIN HALF-MOLECULES AND MUTANTS THEREOF

FILING FEE RECEIVED 694	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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